



246-15

Nassau County
Office of Management & Budget

Staff Summary

Subject Supplemental Appropriation
Department Office of Management & Budget
Budget Analyst Ryan Studdert
Deputy Director Robert Conroy
Budget Director A. Diller

Date 5-27-15
Vendor Name
Contract Number
Contract Manager Name

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Initials	Approval	Date & Initials	Approval
	Dept. Head	6/10/15	Counsel to C.E.
	Budget		County Atty.
	Government Relations	6/10/15	County Exec.

BAPW15000001

Purpose:

To supplementally appropriate funds into the following department as per the attached ordinance and back-up materials.

	APPOPRIATION
DEPARTMENT OF PUBLIC WORKS - UNITED PLANNING WORK PROGRAM	\$ 278,555

Discussion:

This proposed ordinance includes the following supplemental appropriation, the back-up memoranda for which are annexed hereto according to the following index:

DEPARTMENT OF PUBLIC WORKS - UNITED PLANNING WORK PROGRAM

This item appropriates \$278,555 in funds from the Unified Planning Work Program (UPWP). The purpose of this grant is the UPWP is a requirement of the Safe, Accountable, Flexible, and Efficient Transportation Equity Act - A Legacy for Users in order to receive federal transportation funding.

Impact on Funding

These supplemental appropriations will have no net impact on the budget since they will recognize an equal amount of revenue and expenditure.

Recommendation: Approve Ordinance

RECEIVED
CLERK OF THE LEGISLATURE
JUN 12 4 32 PM '15

ORDINANCE NO. - 2015

AN ORDINANCE supplemental to the annual appropriation ordinance in connection with the Department of Public Works.

APPROVED AS TO FORM

Deputy County Attorney

RECEIVED
NASSAU COUNTY
CLERK OF THE LEGISLATURE
2015 JUN 12 A 9:32

WHEREAS, Nassau County has received certain revenue; and

WHEREAS, such funds have not been otherwise appropriated; and

WHEREAS, the County Executive, by communication dated, June 8, 2015, addressed to the County Legislature, has recommended the appropriation of such funds not otherwise appropriated; and,

WHEREAS, this supplemental appropriation is within the scope of Section 307 of the County Government Law; now, therefore,

BE IT ORDAINED by the County Legislature of the County of Nassau, as follows:

§ 1. There is hereby appropriated from monies not otherwise appropriated, the following sums of money to the following accounts:

<u>TOTAL AMOUNT</u> (in dollars)	<u>SOURCE OF FUNDS</u>	<u>APPROPRIATED TO:</u>			
		<u>FUND</u>	<u>DEPT. CODE/Index</u>	<u>OBJ. CODE</u>	<u>AMOUNT</u> (in dollars)
278,555.00	Unified Planning Work Program	GEN	PL	AA	174,654.00
		GEN	PL	AB	71,818.00
		GEN	PL	HH	32,083.00

§ 2. This ordinance may be modified to allow for the correction of any mathematical and/or typographical errors subsequent to any approval and adoption of said ordinance without the necessity for a vote to be taken by the County Legislature or by the members of any Standing Committee of said Legislature if said ordinance is passed by the affirmative vote of a majority of said Legislature.

§ 3. It is hereby determined, pursuant to the provisions of the State Environmental Quality Review Act, 8 N.Y.E.C.L. Section 0101 et seq. and its implementing regulations, Part 617 of 6 N.Y.C.R.R., and Section 1611 of the County Government Law of Nassau County, that this supplemental appropriation ordinance is a "Type II" Action within the meaning of Section 617.5(c)(20) of 6 N.Y.C.R.R. ("routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment"), and, accordingly, is of a class of actions which do not have a significant effect on the environment; and no further review is required.

§ 4. This ordinance shall take effect immediately.

EDWARD P. MANGANO
County Executive



Carnell T. Foskey
County Attorney

County of Nassau Inter-Departmental Memo

To: Clerk of the County Legislature
From: County Attorney
Date: June 8, 2015
Subject: ORDINANCE - ORIG. DEPT. – Office of Management and Budget

AN ORDINANCE supplemental to the annual appropriation ordinance in connection with the Department of Public Works.

The above-described document attached hereto is forwarded for your review and approval and subsequent transmittal to the County Legislature for inclusion upon its calendar.

CARNELL T. FOSKEY
County Attorney

A handwritten signature in black ink, appearing to read "Samantha A. Goetz", is written over a horizontal line.

By: Samantha A. Goetz
Deputy County Attorney
Appeals and Opinions Bureau

Attachments

EDWARD P. MANGANO
County Executive



COUNTY OF NASSAU
OFFICE OF THE NASSAU COUNTY EXECUTIVE
1550 Franklin Avenue
Mineola, New York 11501

**MESSAGE AND RECOMMENDATION OF
THE COUNTY EXECUTIVE AT A REGULAR
MEETING OF THE COUNTY LEGISLATURE**

June 8, 2015

COUNTY LEGISLATURE
NASSAU COUNTY
THEODORE ROOSEVELT EXECUTIVE & LEGISLATIVE BUILDING
MINEOLA, NEW YORK


HONORABLE MEMBERS:

Nassau County has received revenue in connection with the Department of Public Works.

In order to make the monies available for the Department of Public Works, it is requested that the funds be appropriated and credited to the department code as set forth in the attached proposed ordinance.

Therefore, pursuant to Section 307 of the County Government Law of Nassau County, I recommend that the attached supplemental appropriation ordinance be adopted by the County Legislature.

Very truly yours,


fe EDWARD P. MANGANO
County Executive
Nassau County

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of Management & Budget
Roseanne D'Alleva, Director of The Office of Management & Budget

FROM: Department of Public Works

DATE: April 14, 2015

SUBJECT: Supplemental Appropriation – BAPW15000001

Description:

This item appropriates \$278,555 in funds from the Unified Planning Work Program (UPWP). The UPWP serves as the foundation for the Federally-mandated Regional Transportation Planning process focusing on transportation, congestion management and air quality planning activities designed to guide the region over a 25-year planning horizon. The UPWP is coordinated through each region's Metropolitan Planning Organization (MPO). For the New York region, this is the New York Metropolitan Transportation Council (NYMTC), of which Nassau County is a voting member, and all UPWP-eligible work is reimbursable at 80%, with the balance (20%) the County's required match that has historically been provided via in-kind services.

All "core task" planning work performed under the UPWP is mandated by Federal legislation, currently MAP-21 (Moving Ahead for Progress in the 21st Century), so that entities, such as Nassau County, remain compliant with Federal regulations thereby making them eligible to receive Federal transportation funds. One key work product produced and maintained under the UPWP is the Transportation Improvement Program, or TIP. Historically this has been a rolling 5-year capital plan that provides Federal Transit Administration (FTA) and Federal Highway Administration (FHWA) funds to Nassau County, with the FTA funds used, as an example, to purchase new transit buses, and the FHWA funds used, as an example, for highway resurfacing and traffic engineering projects. The current 2014 – 2018 TIP includes about \$15 million annually in Federal (FTA and FHWA) funds for Nassau County over this five-year period, and during 2015 work will begin on developing a new 2017 – 2021 TIP. Of importance is that these funds will only remain available as long as the County continues to perform the mandated planning work funded through the UPWP.

Continuing, the Federal process also requires that before projects can be approved for TIP funding they must first be included in the Regional Transportation Plan, or RTP. This is another UPWP-reimbursable element, with the RTP updated every four years, with the last update adopted September 2014. Work is now underway on the next update that is scheduled to be adopted September 2017. Key to the next update will be incorporating the new metropolitan planning and performance monitoring requirements that were included as part of the MAP-21 legislation.

The UPWP may also include discretionary projects and studies for each member agency, consistent with prevailing Federal guidance and funding availability. The UPWP is designed to provide a planning framework to ensure a consistent comprehensive, coordinated, and cooperative planning process across the NYMTC region.

In the 2015/2016 UPWP (covering 4/1/2015 – 3/31/2016), Nassau County has six discretionary projects, most of which started in a prior UPWP fiscal year. These discretionary projects are primarily focused on collecting important traffic and transit-related data, studying key travel corridors in the County, or working with local communities to address targeted traffic and transportation issues in their respective areas. Also carried over into the current UPWP funding year are funds for staff support to a Federally-funded Vulnerability Assessment Study of the coastal sections of New York, New Jersey and Connecticut that for Nassau County will examine and provide engineering recommendations that may help alleviate some flooding issues along a targeted section of the Long Beach Road/Austin Blvd. corridor.



REVENUE

- A. FUND- PLGRT8098FED X5
- B. DEPT CODE- PL
- C. OBJECT CODE- SA
- D. SUB-OBJECT CODE- R1078 - **\$278,555**
- E. TOTAL REVENUE- \$278,555

EXPENSES

- F. FUND- PLGRT8098FED X5
 - G. DEPT CODE- PL
 - H. OBJECT CODE- AA
 - I. SUBOBJECT CODE -AA97Z- \$174,654
 - J. OBJECT CODE-AB
 - K. SUBOBJECT CODE - AB10F - \$71,818
 - L. OBJECT CODE-HH
 - M. SUBOBJECT CODE - HH597 - \$32,084
 - N. TOTAL EXPENSES - \$278,555
- 3 RAA*

Any additional questions, please contact John Butler at 1-4365.

Shila Shah-Gavnoudias
Commissioner of Public Works

SSG:KGA:jm

c: Kenneth G. Arnold, Assistant to Commissioner
John Butler, Accountant III

Will the program be discontinued when the grant expires (Y/N)? **Yes**** If N, explain how program costs will be covered:

If staffing is involved, will they be terminated at end of grant term (Y/N)? **No** If N, explain how staffing costs will be covered:
-Staff is budgeted in the General Fund and their % effort is allocated to the grant as appropriate.

**This grant program is renewable on an annual basis and has been continuously funded since the early 1980's. Current funding for this program is set through FFY2011 as part of SAFETEA-LU, with funding beyond FFY2011 to be included in future Federal legislation. (Expected to be renewed 5 Year Period – from FFY2010 to FFY2014)

Will any costs go on when grant terms ends and, even if program discontinued (Y/N)? **No** If Y, how will costs be covered?

X Authorized by Department Head / Submitted By John Butler Date 5/29/13

Unified Public Work Program (UPWP) Staff Summary

Subject: Unified Planning Work Program

Purpose:

This item appropriates \$278,555 in funds from the Unified Planning Work Program. The purpose of this grant is the Unified Planning Work Program (UPWP) is a requirement of the Safe, Accountable, Flexible, and Efficient Transportation Equity Act – A Legacy for Users (SAFETEA-LU) in order to receive federal transportation funding.

Description of General Provisions:

The UPWP is coordinated through each region's Metropolitan Planning Organization (MPO). For the New York region, this is the New York Metropolitan Transportation Council (NYMTC), of which Nassau County is a voting member. The UPWP includes projects and studies for each member agency. These UPWP is designed to provide a planning framework to assure a comprehensive, coordinated, and cooperative planning process. A 20% County match is required.

County funding commitment required:

This supplemental appropriation will have no net impact on the budget since they recognize an equal amount of revenue.



OMB Approval _____ Date _____ GM Approval _____ Date _____

GRANT APPLICATION INFORMATION FORM (GAIF)

Submitted to Grants Management

GM must know about all new grant applications at inception and all renewals at the time of renewal. GM will notify you of approval from GM/OMB within 48 hours. This form does not preclude initial planning. The GAIF should be completed and submitted to GM electronically. (See GAIF Directions for further assistance with completing this form)

Grant Detail

(Do not try to enter this information in NIFS; provide it on this form only)

FAML5070

Grant : PL80

Grant Detail : X5

Title : UNITED PLANNING WORK PROGRAM (TRI-STATE IV) 4/11-3/12

Grant Type : FEDERAL

Classification : COMPETITIVE

Grant Manager : ROBERT BRICKMAN

Grantor Agency : NYMTC/NSDOT

Passthru From : NEW YORK STATE DOT

Federal CFDA # : 20.500 & 20.205

Plan Dates Start : 4/1/15 End : 3/31/16

Grant Description (attach draft Abstract and/or Narrative): SEE PAGE 2

Intended Allocation of Funds (Estimate at this stage OK):

Total Grant Request \$278,555

Salaries: \$174,654

Fringe Benefits: \$71,818

OTPS: \$0*

(Other Than Personal

Indirect Costs: \$32,084**

(If none, submit proof)

**Indirect costs are billed – in the past they have served as our local 20% match,

Chargebacks (Y/N?) NO

County match required (Y/N) YES

Cash(C)/In Kind(K) IN KIND

If Y & C, how much? \$

If Y & C, will match change over time (Y/N)? If Y, explain:

If Y & C, is the match in your current budget (Y/N)?

If Y, where (control center, object code)?

If N, how will match be covered? Staff hours commensurate to in-kind match amount

Detail all indirect costs (space, IT, utilities, etc.), one-time and ongoing below:

Building use, equipment use, insurance, fringe benefits, miscellaneous, comptroller, county executive mgmt & budgets, treasurer, legislature, county attorney, human resources, civil service, labor relations, public works, admin, building cost chargebacks.

Must the County initially utilize its own funds in order to be reimbursed by the grant (Y/N)?

of Staff Working on Grant: 0

New Grant Hires: 0

of Staff Moved From Other Funds to Grant Fund:

of Staff Working on Grant from other Funds: 10

What is the dollar amount in salary of the staff moved from other funds to the Grant Fund? NA

Are fringe benefits and termination costs for these employees covered by the grant (Y/N)? Yes – Fringe Costs, Vacation & Sick Time built into the hourly billing rate. If N, explain how covered:

What fringe benefits are not covered by this grant? (i.e. FICA, Pension, etc.) Retirement Costs = Retiree Health & Optical + Medicare and ERS Pension.

Total 2015/16 Award \$ 278,555

\$ 278,555 1.5949 \$ 174,653.58

AA		Labor	1	\$ 174,654
AB		Fringe	0.4112	\$ 71,818
HH		Overhead	0.1837	\$ 32,084
DE	\$ -			

\$ 278,555

1.5949 \$ 278,555 Rounded

\$ - Manual
\$ - Add Back DE
\$ - Total

Haemmerle, Richard

From: Butler, John
Sent: Tuesday, May 26, 2015 10:41 AM
To: Haemmerle, Richard
Cc: Studdert, Ryan
Subject: RE: BAPW15000001 - UPWP went through right?
Attachments: Award Letter - Nassau 2015-16.pdf; BAPW15000001 - 2015-16 - UPWP - Suppl Appr - 4-14-15 - RMB.doc; GAIF - 2015-16 - UPWP Supplemental - 4-9-15 - 2.4.1 Grant Application Information Form.doc; 2015-16 - UPWP Funding Breakout.xls

-----Original Message-----

From: Haemmerle, Richard
Sent: Tuesday, May 26, 2015 10:40 AM
To: Butler, John
Cc: Studdert, Ryan
Subject: FW: BAPW15000001 - UPWP went through right?

Do you have a SAR to submit with this award letter? I haven't seen one yet!

Please advise.

-----Original Message-----

From: Butler, John
Sent: Thursday, May 21, 2015 2:17 PM
To: Haemmerle, Richard
Subject: BAPW15000001 - UPWP went through right?

Your message is ready to be sent with the following file or link attachments:

Award Letter - Nassau 2015-16

Note: To protect against computer viruses, e-mail programs may prevent sending or receiving certain types of file attachments. Check your e-mail security settings to determine how attachments are handled.

NEW YORK METROPOLITAN TRANSPORTATION COUNCIL

Joel P. Ettlinger
Executive Director

March 30, 2015

John P Butler, MBA
Fiscal & Accounting Division
Nassau County - Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590

Dear Mr. Butler,

This is in response to your request for a letter to forward to the Nassau County Office of Management and Budget regarding Nassau County's 2015-16 pass through funding.

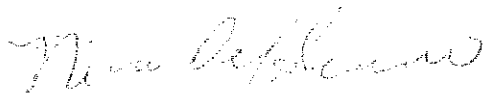
The New York Metropolitan Transportation Council's final 2015-16 Unified Planning Work Program (UPWP) was approved by the Council at the March 12, 2015 annual meeting. As indicated in the approved UPWP, Nassau County will receive new federal pass through funds of \$278,555 for 2015-16.

Nassau County's total budgeted program for 2015-16 for staff, staff related costs and consultant fees is \$927,129. The UPWP Council Budget shows that the \$927,129 is funded by new federal funds (FTA and FHWA) of \$278,555 (as mentioned above), new local match of \$69,639 and unspent prior year funds of \$578,936 (federal and match). The split percentage for the 2015-16 UPWP is 32.81% FTA and 67.19% FHWA.

The 2015-16 UPWP covers the period from April 1, 2015 through March 31, 2016.

Please feel free to contact me if you need additional information.

Sincerely,



Nina DelSeuno
Acting Director, Administration

CC: Robert Brickman, Nassau County DPW/Planning Division
Shalendra Ramadhin, NYMTC